
FORTUFUSION STOCK BROKING SERVICES LLP

ACL-7069

REGISTERED OFFICE NO 113 AND 114, AMBITION TOWER 1, SUBHASH MARG, AGRASEN CIRCLE C-SCHEME, JAIPUR-302001

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POLICY ON PRE-FUNDED INSTRUMENTS

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POLICY ON PRE-FUNDED INSTRUMENTS

(Version 2025)

With reference to NSE Circular No. NSE/INSP/2011/118 dated June 9, 2011, on the drafted policy on pre-funded Instrument which has been approved by Board/Management/Key Personnel are given hereunder. The Policy is subject to the Rules and regulations of the Exchange from time to time.

Objective The Objective of this policy is to minimize the frequency of acceptance of Prefunded Instrument, especially Demand Draft where there is difficulty in tracking the correct source of Issuance.

Title Acceptance of Prefunded Instrument for trades on Exchanges.

Coverage Head Office, all the branches of the company, and all the franchisees of the company whenever trading terminals are there.

Scope Acceptance of Prefunded Instrument Like Demand Draft/Pay Orders, etc., from a client against Pay-in Obligation / Margin.

Procedures The Prefunded Instruments must be accepted only in the following special circumstances:

1. If there are Bank Holidays on the following day;
2. Client having accounts in other Banks or in a Co-operative bank;
3. If the client wants to create a position immediately and has no other way of transferring funds;
4. If the Bank account of the client is in a cooperative bank, which may take some time for the cheque to be cleared;
5. If the company Bank accounts clearing branch is not available in the city/village where the client has his bank account;
6. Such Acceptance is approved by SEBI/Exchange Norms.

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Proof for acceptance If the aggregate value of pre-funded instruments is Rs. 50,000/- or more from clients per day per client, we may accept the instruments only if the same is accompanied by the name of the bank account holder and the number of the bank account debited for the purpose, duly certified by the issuing bank.

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And the mode of certification may include the following:

- a. Certificate from the issuing bank on its letterhead or on plain paper with the seal of the issuing bank;
- b. Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument;
- c. Certified copy of the passbook/bank statement for the account debited to issue the instrument;
- d. Authentication of the bank account number debited and name of the account holder by the issuing bank on the reverse of the instrument.

Permissible Limits The Prefunded Instruments must be accepted only in cases mentioned above and not otherwise. Approval for acceptance must be taken by either of the Managing Directors and then only then credit should be given.